

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	09785693
Filing Date	2001-02-16
First Named Inventor	Rocky Stewart
Art Unit	2152
Examiner Name	Chankong, Dohm
Attorney Docket Number	BEAS-01033US5

**U.S. PATENTS**[Remove](#)

Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
DC	1	7054858		2006-05-30	Sutherland	
	2	6918084		2005-07-12	Slaughter et al.	
	3	6850979		2005-02-01	Saulpaugh et al.	
	4	6330569		2001-12-11	Baisley et al.	
	5	6292932		2001-09-18	Baisley et al.	
	6	6343265		2002-01-29	Glebov et al.	
	7	6408311		2002-06-18	Baisley et al.	
DC	8	6560769		2003-05-06	Moore et al.	

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number		09785693
Filing Date		2001-02-16
First Named Inventor	Rocky Stewart	
Art Unit	2152	
Examiner Name	Chankong, Dohm	
Attorney Docket Number	BEAS-01033US5	

DC	9	6687848		2004-02-03	Najmi	
	10	6377939		2002-04-23	Young	
	11	6678518		2004-01-13	Eerola	
	12	6594700		2003-07-15	Graham et al.	
	13	6915519		2005-07-05	Williamson et al.	
	14	6976086		2005-12-13	Sadeghi et al.	
	15	7117504		2006-10-03	Smith et al.	
	16	6963914		2005-11-08	Breitbart et al.	
DC	17	6889244		2005-05-03	Gaither et al.	

If you wish to add additional U.S. Patent citation information please click the Add button.

Add

**U.S.PATENT APPLICATION PUBLICATIONS**

Remove

Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
-------------------	---------	--------------------	------------------------	------------------	---	--

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number		09785693
Filing Date		2001-02-16
First Named Inventor	Rocky Stewart	
Art Unit	2152	
Examiner Name	Chankong, Dohm	
Attorney Docket Number	BEAS-01033US5	

DC	1	20030196168	A1	2003-10-16	Hu	
	2	20030110446	A1	2003-06-12	Nemer	
	3	20040216086	A1	2004-10-28	Bau	
	4	20040260715	A1	2004-12-23	Mongeon et al.	
	5	20030014439	A1	2003-01-16	Boughannam	
	6	20020083075	A1	2002-06-27	Brummel et al.	
	7	20020010803	A1	2002-01-24	Oberstein et al.	
	8	20020184610	A1	2002-12-05	Chong et al.	
	9	20020004848	A1	2002-01-10	Sudarshan et al.	
	10	20040204976	A1	2004-10-14	Oyama et al.	
DC	11	20030149791	A1	2003-08-07	Kane et al.	

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number		09785693
Filing Date		2001-02-16
First Named Inventor	Rocky Stewart	
Art Unit	2152	
Examiner Name	Chankong, Dohm	
Attorney Docket Number	BEAS-01033US5	

DC	12	20020120685	A1	2002-08-29	Srivastava et al.	
	13	20030004746	A1	2003-01-02	Kheirulomoom et al.	
	14	20030055868	A1	2003-03-20	Fletcher et al.	
	15	20030043191	A1	2003-03-06	Tinsley et al.	
	16	20030055878	A1	2003-03-20	Fletcher et al.	
	17	20030126136	A1	2003-07-03	Omoigui	
	18	20040148336	A1	2004-07-29	Hubbard et al.	
	19	20020152106	A1	2002-10-17	Stoxen et al.	
	20	20030167358	A1	2003-09-04	Marvin et al.	
DC	21	20030005181	A1	2003-01-02	Bau et al.	

If you wish to add additional U.S. Published Application citation information please click the Add button.

**FOREIGN PATENT DOCUMENTS**

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT** ( Not for submission under 37 CFR 1.99)

Application Number	09785693
Filing Date	2001-02-16
First Named Inventor	Rocky Stewart
Art Unit	2152
Examiner Name	Chankong, Dohm
Attorney Docket Number	BEAS-01033US5

Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup>	Kind Code <sup>4</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>
	1							<input type="checkbox"/>

If you wish to add additional Foreign Patent Document citation information please click the Add button

## **NON-PATENT LITERATURE DOCUMENTS**

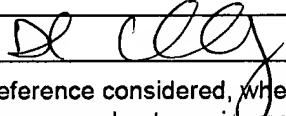
Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>5</sup>
DC	1	SOSNOSKI, "XML and Java Technologies: Data Binding, Part 1: Code Generation Approaches -- JAXB and more", IBM, pages 1-11, 2003.	<input type="checkbox"/>
	2	JAVA™ Debug Interface, definitions, retrieved from <URL http://java.sun.com/j2se/1.4.2/docs/guide/jpda/jdi/overview-summary.html, 02/21/2007.	<input type="checkbox"/>
	3	EMBURY et al., "Assisting the Comprehension of Legacy Transaction", Reverse Engineering, 2001, Proceedings, Eighth Working Conference on October 2-5, 2001, pp. 345-354.	<input type="checkbox"/>
	4	MAYS et al., "A Persistent Store for Large Shared Knowledge Bases", Knowledge and Data Engineering, IEEE Transactions on Volume 3, Issue 1, March 1991, pp. 33-41.	<input type="checkbox"/>
	5	TANG et al., "Integrating Remote Invocation and Distributed Shared State", Parallel and Distributed Processing Symposium, 2004, Proceedings, 18th International, April 26-30 2004, (10 pages).	<input type="checkbox"/>
DC	6	KILGORE, "Multi-Language, Open-Source Modeling Using the Microsoft Architecture", Simulation Conference, 2002, Proceedings of the Winter, December 8-11, 2002, Vol. 1, pp. 629-633.	<input type="checkbox"/>

If you wish to add additional non-patent literature document citation information please click the Add button

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	09785693
Filing Date	2001-02-16
First Named Inventor	Rocky Stewart
Art Unit	2152
Examiner Name	Chankong, Dohm
Attorney Docket Number	BEAS-01033US5

**EXAMINER SIGNATURE**

Examiner Signature		Date Considered	7/24/07
--------------------	---	-----------------	---------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> ( Not for submission under 37 CFR 1.99)	Application Number		09785693
	Filing Date		2001-02-16
	First Named Inventor	Rocky Stewart	
	Art Unit	2152	
	Examiner Name	Chankong, Dohm	
	Attorney Docket Number	BEAS-01033US5	

U.S. PATENTS							Remove
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	
DC	1	6445711		2002-09-03	Scheel et al.		
	2	6070184		2000-05-30	Blount et al.		
	3	6721779		2004-04-13	Maffeis		
	4	6141701		2000-10-31	Whitney		
	5	6411698		2002-06-25	Bauer et al.		
	6	6012083		2000-01-04	Savitzky et al.		
	7	6212546		2001-04-03	Starkovich et al.		
DC	8	7127704		2006-10-24	Van De Vanter et al.		

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number		09785693
Filing Date		2001-02-16
First Named Inventor	Rocky Stewart	
Art Unit	2152	
Examiner Name	Chankong, Dohm	
Attorney Docket Number	BEAS-01033US5	

<i>a</i>	9	7076772		2006-07-11	Zatloukal	
	10	6847981		2005-01-25	Song et al.	
	11	7107578		2006-09-12	Alpern	
	12	5966535		1999-10-12	Benedikt et al.	
	13	6029000		2000-02-22	Woolsey et al.	
	14	6243737		2001-06-05	Flanagan et al.	
	15	6643652		2003-11-04	Helgeson et al.	
	16	6832238		2004-12-14	Sharma et al.	
	17	7072934		2007-05-16	Helgeson et al.	
<i>a</i>	18	7051072		2006-05-23	Stewart et al.	

If you wish to add additional U.S. Patent citation information please click the Add button.

Add

**U.S.PATENT APPLICATION PUBLICATIONS**

Remove



**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number		09785693
Filing Date		2001-02-16
First Named Inventor	Rocky Stewart	
Art Unit	2152	
Examiner Name	Chankong, Dohm	
Attorney Docket Number	BEAS-01033US5	

Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
DC	1	20020035604		2002-03-21	Cohen et al.	
	2	20040019645		2004-01-29	Goodman et al.	
	3	20060206856		2006-09-14	Breeden et al.	
	4	20020174241		2002-11-21	Beged-Dov et al.	
	5	20030084203		2003-05-01	Yoshida et al.	
DC	6	20020073236		2002-06-13	Helgeson et al.	

If you wish to add additional U.S. Published Application citation information please click the Add button.

**FOREIGN PATENT DOCUMENTS**

Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup>	Kind Code <sup>4</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>
	1							<input type="checkbox"/>

If you wish to add additional Foreign Patent Document citation information please click the Add button.

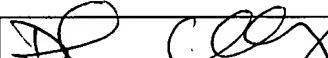
**NON-PATENT LITERATURE DOCUMENTS**

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> ( Not for submission under 37 CFR 1.99)	Application Number		09785693
	Filing Date		2001-02-16
	First Named Inventor	Rocky Stewart	
	Art Unit	2152	
	Examiner Name	Chankong, Dohm	
Attorney Docket Number		BEAS-01033US5	

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>5</sup>
DC	1	HP, "hp application server" developer's guide, version 8.0, 1999-2001, pp. 27-81, 127, 160, 195-271.	<input type="checkbox"/>

If you wish to add additional non-patent literature document citation information please click the Add button

#### EXAMINER SIGNATURE

Examiner Signature		Date Considered	7/24/07
--------------------	---	-----------------	---------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	09785693
Filing Date	2001-02-16
First Named Inventor	Rocky Stewart
Art Unit	2152
Examiner Name	Dohm Chankong
Attorney Docket Number	BEAS-01033US5

U.S. PATENTS							Remove
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	
DC	1	5604860		1997-02-18	McLaughlin et al.		
	2	5630131		1997-05-13	Palevich et al.		
	3	6018730		2000-01-25	Nichols et al.		
	4	6023578		2000-02-08	Birsan et al.		
	5	6470364		2002-10-22	Prinzing		
	6	6637020		2003-10-21	Hammond		
	7	6654932		2003-11-25	Bahrs et al.		
DC	8	6789054		2004-09-07	Makhlouf		

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number		09785693
Filing Date		2001-02-16
First Named Inventor	Rocky Stewart	
Art Unit	2152	
Examiner Name	Dohm Chankong	
Attorney Docket Number	BEAS-01033US5	

DC	9	7017146		2006-03-21	Dellarocas et al.	
	10	7062718		2006-06-13	Kodosky et al.	
	11	7111243		2006-09-19	Ballard et al.	
	12	7051316		2006-05-03	Charisius et al.	
	13	5944794		1999-08-31	Okamoto et al.	
	14	6636491		2003-10-21	Kari et al.	
	15	5469562		1995-11-21	Saether	
	16	6016495		2000-01-18	McKeehan et al.	
	17	6334114		2001-12-25	Jacobs et al.	
	18	7143186		2006-11-28	Stewart et al.	
DC	19	6823495		2004-11-23	Vedula et al.	

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number		09785693
Filing Date		2001-02-16
First Named Inventor	Rocky Stewart	
Art Unit	2152	
Examiner Name	Dohm Chankong	
Attorney Docket Number	BEAS-01033US5	

DC	20	7096422		2006-08-22	Rothschiller et al.	
----	----	---------	--	------------	---------------------	--

If you wish to add additional U.S. Patent citation information please click the Add button.

Add

**U.S.PATENT APPLICATION PUBLICATIONS**

Remove

Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
DC	1	20050278585		2005-12-15	Spencer	
	2	20020143960		2002-10-03	Goren et al.	
	3	20030046591		2003-03-06	Asghari-Kamrani et al.	
	4	20030079029		2003-04-24	Garimella et al.	
	5	20060234678		2006-10-19	Juitt et al.	
	6	20020073396		2002-06-13	Crupi et al.	
	7	20030051066		2003-03-13	Pace et al.	
DC	8	20030074217		2003-04-17	Beisiegel et al.	

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number		09785693
Filing Date		2001-02-16
First Named Inventor	Rocky Stewart	
Art Unit	2152	
Examiner Name	Dohm Chankong	
Attorney Docket Number	BEAS-01033US5	

OC	9	20030018661		2003-01-23	Darugar	
	10	20050050068		2005-03-03	Vaschillo et al.	
	11	20070038500		2007-02-15	Hammitt et al.	
	12	20020161826		2002-10-31	Arteaga et al.	
OC	13	20030028579		2003-02-06	Kulkami et al.	

If you wish to add additional U.S. Published Application citation information please click the Add button

**FOREIGN PATENT DOCUMENTS**

Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup>	Kind Code <sup>4</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>
OC	1	WO9923558	WO		1999-05-14	Oracle Corporation		<input type="checkbox"/>

If you wish to add additional Foreign Patent Document citation information please click the Add button

**NON-PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>5</sup>
OC	1	BOGUNOVIC, "A Programming Model for Composing Data Flow Collaborative Applications", Proceedings of the IEEE International Conference on Engineering of Computer Based Systems, March 7-12 1999, pp 106-112.	<input type="checkbox"/>

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	09785693
Filing Date	2001-02-16
First Named Inventor	Rocky Stewart
Art Unit	2152
Examiner Name	Dohm Chankong
Attorney Docket Number	BEAS-01033US5

DC	2	SUNG, S.Y., et al., "A Multimedia Authoring Tool for the Internet", IEEE 1997, pgs. 304-308.	<input type="checkbox"/>
	3	SMITH, M., et al., "Marching Towards a Software Reuse Future", ACM Ada Letters, Nov/Dec 1994, Vol. XIV, Number 6, pgs. 62-72.	<input type="checkbox"/>
	4	MOHAN, C., et al. "ARIES: A Transaction Recovery Method Supporting Fine-Granularity Locking and Partial Rollback Using Write-Ahead Logging", ACM Transactions on Database Systems, Vol. 17, Issue 1, March 1992, pp. 94-162.	<input type="checkbox"/>
	5	BEA, "Transforming Data Using Xquery Mapper", 2006, BEA AquaLogic Service Bus 2.0 Documentation, pp. 1-19.	<input type="checkbox"/>
	6	STYLUS STUDIO, "Xquery Mapper, <a href="http://www.stylusstudio.com/xquery_mapper.html">http://www.stylusstudio.com/xquery_mapper.html</a> , June 5, 2007. pp.1-6.	<input type="checkbox"/>
	7	ALTOVA, "XML-to-XML Mapping", 2007, pp. 1-3.	<input type="checkbox"/>
DC	8	JAMPER, "Jumper-Java XML Mapper", June 6, 2007, <a href="http://jamper.sourceforge.net">http://jamper.sourceforge.net</a> , pp. 1-4.	<input type="checkbox"/>

If you wish to add additional non-patent literature document citation information please click the Add button

**EXAMINER SIGNATURE**

Examiner Signature	<i>DE Cole</i>	Date Considered	7/24/07
--------------------	----------------	-----------------	---------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.